

File 347-JAPIO Oct 1976-2 1/Aug(Updated 021203)

(C) 2002 JPO & JAPIO

File 348:EUROPEAN PATENTS 1978-2002/Dec W02

(C) 2002 European Patent Office

File 349:PCT FULLTEXT 1979-2002/DB=20021213,UT=20021205

(C) 2002 WIPO/Inventio

File 350:Derwent WPIX 1963-2002/UD,UM &UP=200280

(C) 2002 Thomson Derwent

Set	Items	Description
S1	0	AU='HAMBRECHT W'
S2	9	AU='HANSSON O' OF AU='HANSSON OTHAR'
S3	48	AU='HAYES J'
S4	2	AU='HAYES JORDAN M'
S5	91	AU='KATZ A';AU='KATZ A Y' OR AU='KATZ ALAN HOWARD';AU='KATZ ALAN J'
S6	3	AU='OCHERET';AU='OCHERET CHARLES A'
S7	55	AU='REGAN M';AU='REGAN M T' OF AU='REGAN MATTHEW JAMES PAT-RICK'
S8	1	(S1 OR S2 OR S3 OR S4 OF S5 OR S6 OR S7) AND AUCTION? AND - (INVEST? OR IPO OR BROKER?)

Set	Items	Description
S1	2216	AUCTION? OR METACTION? OR MULTIACTION? OR BUTCHACTIONS? OR ((BID OR BIUD?)(5N)OFFER? OF SBAY OR E(BAY OR REQUEST(1W- QUOTE? OR PFQ
S2	194964	ONLINE OR INTERNET OF INTRANET OR ETHERNET OR WWW OF WEB OR CYBER OR VIRTUAL OF URL OR WEBSITE? OF WEBPAGE?
S3	6	INITIAL()PUBLIC()OFFERING? OR CLOSED(1W)END()FUNDS OF (FIR- ST OR 1ST OR START?)(5N)((PUBLIC OR COMMON)(2N)(STOCK? ? OR E- QUITY OR EQUITIES))
S4	39063	(RESTRICT? OF RESTRAIN OR RESTRAINING OR BARRIER? OF LIMIT? OR CONTINGENT? OR CONTROLLED OR CONDITIONAL?)(2N)(USE OR USI- NG OR USAGE OR USABILITY OF ACCESS? OF ENTRANCE OF ENTRY OF O- TILIZ?)
S5	546	(QUALIFIED OR AUTHORIZED OF AUTHORIZED OR ACCEPTED OR VERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED)(2N)(PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S6	296165	SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILL)()IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W)BETWEEN OR MIDDLEMAN OF MIDDLEMEN OR MIDDLE() (MAN OF MEN)
S7	532	(UNQUALIFIED OR ORDINARY OR SMALL OF UNSOPHISTICATED OF ST- NGLE OR SOLE OR SOLITARY)(2R)(PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OF SUBSCRIBER?)
S8	0	S1 AND S2 AND (S3 OR S5) AND S6 AND S7
S9	0	S1 AND S2 AND (S4 OR S5) AND S6
S10	27	S1 AND S2 AND (S4 OF S5)

Set	Items	Description
S1	2216	AUCTION? OF META AUCTION? OR MULTIAUCTION? OR DUTCH AUCTION? OF ((BID OR BIDD?)(5N)OFFER? OR EBAY OR E(BAY OF REQUEST(1W- QUOTE? OF RFQ
S2	194964	ONLINE OR INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OF CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE?
S3	7	INITIAL? PUBLIC? OFFERING? OR CLOSED(1W)END? FUNGUS OR (GO - OF GOING? ((PUBLIC OR REVERSE?)(MERGE? OR ACQUISITION? OR MU- TUAL(1W)STOCK? CONVER? OR (FIRST OR 1ST OR START?)(3N)((PUBLIC OF COMMON?)(2N)(STOCK? ? OR EQUITY OR EQUITIES?))
S4	546	(QUALIFIED OR AUTHORIZED OR AUTHORIZED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OF LAR- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED?)(2N)(PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OF SUBSCRIBER?)
S5	299165	SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILL?)(IN OR INTERMEDIAR? OF REPRESENTATIVE? OR GO(1W)BETWEEN - OR MIDDLEMAN OF MIDDLEMEN OR MIDDLE?)(MAN OR MEN)
S6	532	(UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NGLE OR SOLE OR SOLITARY?)(2N)(PURCHASER? OR BUYER? OR CUSTOMER- R? OR INVESTOR? OR BIDDER? OF SUBSCRIBER?)
S7	3	S1 AND S3

Sec	Items	Description
51	2673	AUCTION? OR METAUCTION? OR MULTIAUCTION? OR DUTCHAUCTION? OR ((BID OR BIDS?) (SN) (OFFER?)) OR EBAY OR E((BAY OR REQUEST(SW-)QUOTE? OR REQ
52	146531	ONLINE OF INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OF WEBSITE? OR WEBSITE?
53	30	INITIAL(?) PUBLIC(?) OFFERING? OF CLOSED(IN)END(?) FUNDS OR (GO - OF GOING) (?) PUBLIC OR REVERSE(?) (MERGER? OF ACQUISITION?) OR MU- TUAL(IN) STOCK(?) (CONVERT? OF (FIRST OF 1ST OR START?) (3R) (PUBLIC OF COMMON) (2N) (STOCK? ? OR EQUITY OR EQUITIES))
54	2654	(QUALIFIED OR AUTHORIZED OR AUTHORIZED OR ACCEPTED OR REPM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR HEALTHY OR INSTITUTION? OF SOPHISTICATED) (2N) (PURCHASER? OF BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
55	626053	SUBSTITUTE? OF PROXY OR PROXIE? OF VICARIOUS OR (STAND OR P- ILL) (?) IN OR INTERMEDIARY? OF REPRESENTATIVE? OR GO((IN) BETWEEN - OR MIDDLEMAN OF MIDDLEMEN OR MIDDLE()) (MAN OR MEN)
56	1609	(UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- MILE OR SOLE OF SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOMER? OF INVESTOR? OF BIDDER? OF SUBSCRIBER?)
57	31	S1 AND S2 AND S3 AND (S4 OR S5 OR S6)
58	1	(S1 (10) S3) AND S5 NOT S7
59	1	S3 AND (S4 (5N) S5) NOT (S7 OR S8)
510	22	S3 NOT (S7 OR S8 OF S9) AND IC=006F-017/50

Set	Items	Description
51	668	AUCTION? OR METAAUCTION? OR MULTIAUCTION? OR DUTCAUCTION? OR ((BID OR BIDD?){5N}{OFFER?} OR EBAY OR E/BAY OR REQUEST{1W- QUOTE? OR ETC
52	44059	ONLINE OR INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBSITE? OR WEBSITE?
53	150	INITIAL{PUBLIC}{OFFERING? OR CLOSED{1W}END{1FUND? OR 1CO - OF GOING}{PUBLIC OR REVERSE}{MERGER? OR ACQUISITION?} OR MU- TUAL{1W}{STOCK}{CONVER? OR (FIRST OR 1ST OR START?){3N}{PUBLIC OF COMMON}{2N}{STOCK? ? OF EQUITY OF EQUITIES}{1
54	82	(QUALIFIED OR AUTHORIZED OR AUTHORIZED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAV- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED){2N}{PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?}
55	2441	SUBSTITUTE? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILL){1N OR INTERMEDIAR? OR REPRESENTATIVE? OR GO{1W}{BETWEEN - OF MIDDLEMAN OR MIDDLEMEN OF MIDDLE}{1MAN OR MEN}
56	163	(UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NGLE OF SOLE OR SOLITARY){2N}{PURCHASER? OR BUYER? OR CUSTOMER- FF OR INVESTOR? OR BIDDER? OR SUBSCRIBER?}
57	0	S1 AND S2 AND S3 AND (S4 OR S5 OR S6)
58	0	S1 AND S3 AND (S4 OR S5 OR S6)
59	1	S1 AND S3
510	5	S3 AND (S4 OR S5 OR S6)

File 15:ABI/Inform(R) 19 2002/Dec 13
 (c) 2002 ProQuest Info&Learning

File 9:Business & Industry(R) Jul/1994-2002/Dec 13
 (c) 2002 Resp. DB Svcs.

File 610:Business Wire 1999-2002/Dec 13
 (c) 2002 Business Wire

File 810:Business Wire 1986-1999/Feb 28
 (c) 1999 Business Wire

File 275:Gale Group Computer DB(TM) 1983-2002/Dec 13
 (c) 2002 The Gale Group

File 476:Financial Times Fulltext 1982-2002/Dec 13
 (c) 2002 Financial Times Ltd

File 624:McGraw-Hill Publications 1985-2002/Dec 13
 (c) 2002 McGraw-Hill Co Inc

File 636:Gale Group Newsletter DB(TM) 1987-2002/Dec 13
 (c) 2002 The Gale Group

File 621:Gale Group New Prod. Annou (R) 1985-2002/Dec 12
 (c) 2002 The Gale Group

File 613:PR Newswire 1999-2002/Dec 13
 (c) 2002 PR Newswire Association Inc

File 812:PR Newswire 1987-1999/Apr 30
 (c) 1999 PR Newswire Association Inc

File 16:Gale Group PROMT(R) 1990-2002/Dec 13
 (c) 2002 The Gale Group

File 160:Gale Group PROMT(R) 1972-1989
 (c) 1999 The Gale Group

File 634:San Jose Mercury Jun 1985-2002/Dec 12
 (c) 2002 San Jose Mercury News

File 148:Gale Group Trade & Industry DB 1976-2002/Dec 12
 (c) 2002 The Gale Group

File 20:Dialog Global Reporter 1997-2002/Dec 13
 (c) 2002 The Dialog Corp.

File 625:American Banker Publications 1983-2002/Dec 13
 (c) 2002 American Banker

File 268:Banking Info Source 1981-2002/Dec W2
 (c) 2002 ProQuest Info&Learning

File 626:Bond Buyer Full Text 1991-2002/Dec 13
 (c) 2002 Bond Buyer

File 267:Finance & Banking Newsletters 2002/Dec 09
 (c) 2002 The Dialog Corp.

Sec	Items	Description
51	155009	(AUCTION? OR METAUCTION? OR MULTIAUCTION? OR DUTCHAUCTION? OR BID OR BIDD?) (3N) (ONLINE OR INTERNET OR WWW OR WEB OR CYB- ER OR VIRTUAL OR URL OR WEBSITE? OR WEBSITE? OR EMAIL OR E-MAIL -)
62	498805	INITIAL? (PUBLIC? (OFFERING? OR CLOSED (1W) END (1P) UNDS OR (GO - OR GOING) (1) PUBLIC OR REVERSE (1) MERGER? OR ACQUISITION?) OR (P- RST OR 1ST OF INITIAL?) (3N) ((PUBLIC OR COMMON) (2N) (STOCK? ? - OR EQUITY OR EQUITIES))
93	403738	(RESTRICT? OR RESTRAIN OR RESTRAINING OR BARRIER? OR LIMIT? OR CONTINGENT? OR CONTROLLED OR CONDITIONAL? OR EXCLUSIVE? (1- N) (USE OR USING OR USAGE OR USABILITY OF ACCESS? OR ENTRANCE - OR ENTRY OR UTILIZ?)
34	457620	(QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OF WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
55	2843	54 (5N) (SUBSTITUT? OR PROXY OR PROXIES? OR VICARIOUS? OR (STA- ND OR FIL) (1) IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO (1W) B- ETWEEN OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE (1) MAN OR MEN))
56	5	S1 AND S2 AND S5
57	176	S1 AND S2 AND S3 NOT S6
58	28	((S1(S)S2) AND S3) NOT S6

WEST Search History

DATE: Monday, April 01, 2002

<u>Set Name</u> side by side	<u>Query</u>	<u>Hit Count</u>	<u>Set Name</u> result set
DR-USPT,PGPB,JPAB,EPAB,DWPI,TDBD: PLUR=YES, OP GR			
L2	((70S/37)'.COR)	326	L2
L1	(70S/37.cor)!	0	L1

END OF SEARCH HISTORY

O = UPDATED TI/AB/KWIC

Set	Items	Description
51	3	TRIPS AND INFO
52	70	{{TREASURY(M/BILL) 7} AND (PROG/PATA)}
53	54	RE (unique items)
54	253	ES: NOT FY:1999
55	37	53 NOT FY:1999
File 656:	Gale Group Newsletter DB(TM): 1997-2002/Mar 29	
	(c) 2002 The Gale Group	
File 621:	Gale Group New Prod.Annou.(R): 1985-2002/Mar 29	
	(c) 2002 The Gale Group	
File #13:	PR Newswire 1987-1999/Apr 30	
	(c) 1999 PR Newswire Association Inc	
File 16:	Gale Group PROMT(P): 1990-2002/Mar 19	
	(c) 2002 The Gale Group	
File 160:	Gale Group PROMT(R): 1972-1999	
	(c) 1999 The Gale Group	
File 149:	Gale Group Trade & Industry DB 1976-2002/Mar 29	
	(c)2002 The Gale Group	
File 10:	Dialog Global Reporter: 1997-2002/Apr 01	
	(c) 2002 The Dialog Corp	

S&P	ICD	DESCRIPTION
S1	141	PAYITY AND (PFC/WRATE)
S2	27	S1 AND BOND?
S3	6	S2 AND CLEARING
S4	4	RT (unique items)
S5	1	S1 AND (CLEARING(W:PRICE? ?)
S6	996	(DISTRIBUT? (2H) (SHARE? ? OF EQUITY? OF BOND?)) AND (PROVIR-ATA)

S7	26	S6 AND (TREASURY(W:BILL?)
S8	12	RT (unique items)
S9	514	IPC AND (PRO(W:DATA)
S10	27	S9 AND AUCTION
S11	13	RD (unique items)

File 636:Gale Group Newsletter DE(TM) 1957-2002/Mar 29
 (c) 2002 The Gale Group

File 621:Gale Group New Prod. Annou. (R) 1955-2002/Mar 29
 (c) 2002 The Gale Group

File 813:PR Newswire 1987-1999/Apr 20
 (c) 1999 PR Newswire Association Inc

File 14:Gale Group PROMT(IP) 1950-2002/Mar 28
 (c) 2002 The Gale Group

File 159:Gale Group PROMT(IP) 1972-1989
 (c) 1989 The Gale Group

File 149:Gale Group Trade & Industry DE 1976-2002/Mar 28
 (c) 2002 The Gale Group

File 20:Dialog Global Reporter 1997-2002/Mar 28
 (c) 2002 The Dialog Corp.

DS; SHOW FILES

Set	Items	Description
S1	10	WILLIAM WIVICKET
S2	21	S1 AND AUCTION
File	15:ABI/Inform(R)	1971-2001/Jul 28
	(c)	2001 ProQuest Info&Learning
File	9:Business & Industry(R)	Jul/1994-2001/Jul 21
	(c)	2001 Resp. DB Serv.
File	623:Business Week	1985-2001/Jul W5
	(c)	2001 The McGraw-Hill Companies Inc
File	316:Business Wire	1986-1999/Feb 28
	(c)	1999 Business Wire
File	275:Gale Group Computer DB(TM)	1993-2001/Jul 30
	(c)	2001 The Gale Group
File	624:McGraw-Hill Publications	1985-2001/Jul 21
	(c)	2001 McGraw-Hill Co. Inc

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researcher

<u>L16</u>	L15	106	<u>L16</u>
<u>L15</u>	(stock or equity) adj offer\$	106	<u>L15</u>
<u>L14</u>	broker\$ same (qualified adj (investor or purchaser or buyer or participant))	4	<u>L14</u>
<u>L13</u>	broker\$ same (IPO or (initial adj public adj offering))	6	<u>L13</u>
<u>L12</u>	broker\$ near\$ (IPO or (initial adj public adj offering))	0	<u>L12</u>
<u>L11</u>	I9 and I7	68	<u>L11</u>
<u>L10</u>	L9 and I9	14299	<u>L10</u>
<u>I9</u>	(float\$ or issu\$ or sell\$ or distribut\$) near\$ (stock or issue or security or share or equity)	14299	<u>I9</u>
<u>I8</u>	L7 and (qualified or accredited)	39	<u>I8</u>
<u>I7</u>	IPO or (initial adj public adj offering)	999	<u>I7</u>
<u>I6</u>	Mercedmond and abokhair	1	<u>I6</u>
<u>I5</u>	qualified adj (investor or purchaser or buyer or participant)	92	<u>I5</u>
<u>I4</u>	L3 and @adj<19990706	131	<u>I4</u>
<u>I3</u>	((705/36)!.COR.)	337	<u>I3</u>
<u>I2</u>	((705/35)!.COR.)	298	<u>I2</u>
<u>I1</u>	((705/37)!.COR.)	689	<u>I1</u>

END OF SEARCH HISTORY

permitted to be taken

WEST

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Main Menu	Search Form	Posting Counts	Show S Numbers	Edit S Numbers	Preferences	Cases
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Search Results -

Terms

Documents

L3 and @ad<19990706

131

US Patent Full-Text Database
 US Pre-Grant Publication Full-Text Database
 JPO Abstracts Database
 EPO Abstracts Database
 Derwent World Patent Index

Database:

L4

Search:

Refine Search

Recall Text

Clear

Search History

Set Name
side by side

Query

Hit Count Set Name
result set

DB=USPT,PGPB,JPAB,EPAB,DWPLT,DBD; PLUR=YES; OI=OR

L4	L3 and @ad<19990706
L3	((705/36).COR.)
L2	((705/35).COR.)
L1	((705/37).COR.)

131	L4
337	L3
298	L2
689	L1

END OF SEARCH HISTORY

○ = REVIEWED TI/AB/KWIC